## Fundamentals of Cryptography: Problem Set 3

## Due Wed Oct 9 3PM

Collaboration is permitted (and encouraged); however, you must write up your own solutions and acknowledge your collaborators.

If a problem has **0pt**, it will not be graded.

**Problem 0** Read Section 7.1, 7.2, 7.3 of "Introduction to Modern Cryptography (2nd ed)" by Katz & Lindell.

If you are curious about how to construct PRG from OWF, you may read "Pseudorandom Generators from One-Way Functions: A Simple Construction for Any Hardness" by Thomas Holenstein.

**Problem 1 (0pt): Concentration Inequalities** This problem recaps a few useful probability bounds. They show how random variables "concentrate" around their means. Section A of "Introduction to Modern Cryptography (2nd ed)" may help you answer this question.

**Part A (Markov's Inequality)** Let X be a random variable over non-negative real numbers. Prove that, for any a > 0,

$$\Pr[X \ge a] \le \frac{\mathbb{E}[X]}{a}$$

- **Part B (Chernoff Bound)** Let  $p \in [0,1]$  be a constant. Let  $X_1, \ldots, X_n$  be random variables that are sampled independently from Bern(p). That is, for each  $i \in \{1, \ldots, n\}$ , we have  $X_i \in \{0, 1\}$  and  $\Pr[X_i = 1] = p$ .
  - (1) Compute  $\mathbb{E}[e^{t\sum_i X_i}]$  for any  $t \in \mathbb{R}$ .
  - (2) Prove that,

$$\Pr\left[\frac{1}{n}\sum_{i}X_{i} \ge p + \varepsilon\right] \le \frac{\mathbb{E}[e^{t\sum_{i}X_{i}}]}{e^{tn(p+\varepsilon)}},$$

for any t > 0.

(3) Optimize the above bound by choosing t wisely.

The optimized bound is call Chernoff bound, it should looks like

$$\Pr\left[\frac{1}{n}\sum_{i}X_{i} \ge p + \varepsilon\right] \le e^{-D(p+\varepsilon\|p)\cdot n},$$

where  $D(p + \varepsilon || p)$  is the notatino of KL divergence, and is defined as  $D(p + \varepsilon || p) := (p + \varepsilon) \log(\frac{p+\varepsilon}{p}) + (1 - p - \varepsilon) \log(\frac{1-p-\varepsilon}{1-p})$ . Since  $D(p + \varepsilon || p) \ge 2\varepsilon^2$ , Chernoff bound can be relaxed to

$$\Pr\left[\frac{1}{n}\sum_{i}X_{i} \ge p + \varepsilon\right] \le e^{-2\varepsilon^{2}n}.$$

**Part C** (Chebyshev's Inequality) Let X be a random variable. Prove that

$$\Pr\left[|X - \mathbb{E}[X]| \ge a\right] \le \frac{\operatorname{Var}[X]}{a^2}$$

for any a > 0. Here  $\operatorname{Var}[X] := \mathbb{E}[(X - \mathbb{E}[X])^2]$  is the variance of X.

Let  $X_1, \ldots, X_n$  be random variables such that  $\mathbb{E}[X_i] = p$  and  $\operatorname{Var}[X_i] = \sigma^2$  for all *i*. We also assume that  $X_1, \ldots, X_n$  are *pair-wise independent*. Prove that

$$\Pr\left[\left|\frac{1}{n}\sum_{i}X_{i}-p\right| \geq a\right] \leq \frac{\sigma^{2}}{na^{2}}$$

for any a > 0.

**Problem 2 (14pt)** Assume f is a length-preserving OWF (i.e., |f(x)| = |x|). In each of the following cases, prove f' is a OWF, or show a counterexample.

**Part A** f'(x) := f(x) || f(f(x)).

**Part B**  $f'(x) := x \oplus f(x)$ .

**Part C**  $f'(x) := f(x) || f(\bar{x})$ , where  $\bar{x}$  denote the bit-wise NOT operation.

**Part D** f'(x) := f(G(x)), where G is a PRG that |G(s)| = |s| + 1.

**Part E** f'(x) := G(f(x)), where G is a PRG that |G(s)| = |s| + 1.

**Part F**  $f'(x) := f(x || \underbrace{0 \dots 0}_{\log n \text{ many}})$ , where n = |x|.

**Part G**  $f'(x) := (f(x))_{1:(n-\log n)}$ , where n = |x|. That is, f'(x) outputs the first  $n - \log(n)$  bits of f(x).

**Problem 3 (6pt) Hardness Amplification of Weak OWFs** For simplicity, we consider length-preserving weak OWF.  $f : \{0, 1\}^* \to \{0, 1\}^*$  is a length-preserving weak OWF, if |f(x)| = |x| for any  $x \in \{0, 1\}^*$ , and there exists a polynomial q, such that for any PPT  $\mathcal{A}$ , for any sufficiently large n,

$$\Pr_{\substack{x \leftarrow \{0,1\}^n \\ \hat{x} \leftarrow \mathcal{A}(f(x))}} \left[ f(\hat{x}) = f(x) \right] \le 1 - \frac{1}{q(n)}.$$

(Note the order of the quantifiers!)

Assume f is such a weak OWF. Define f' such that for  $x_1, \ldots, x_m \in \{0, 1\}^n$ ,

$$f'(x_1 \parallel \ldots \parallel x_m) = f(x_1) \parallel \ldots \parallel f(x_m)$$

where m = m(n) is a polynomial on n. (m(n) will be fixed later.)

We prove f' is a OWF by contradiction. Assume f' is not a OWF, then there exists PPT  $\mathcal{A}'$ , and polynomial p such that

$$\Pr_{\substack{x_1,\dots,x_m \leftarrow \{0,1\}^n\\\hat{x}_1,\dots,\hat{x}_m \leftarrow \mathcal{A}'(f(x_1)\|\dots\|f(x_m))}} \left[ f(\hat{x}_1) = f(x_1),\dots,f(\hat{x}_m) = f(x_m) \right] > \frac{1}{p(n)}$$

for infinitely many integer n.

Define  $\mathcal{A}$  as

$$\mathcal{A}(y) \quad \text{let } n = |y|, \text{ let } m = m(n)$$
sample  $i \stackrel{\$}{\leftarrow} \{1, \dots, m\}$ 
for all  $j \neq i$ , sample  $x_j \stackrel{\$}{\leftarrow} \{0, 1\}^n$  and let  $y_j = f(x_j)$ 
let  $y_i = y$ 
call  $\hat{x}_1 \| \dots \| \hat{x}_m \leftarrow \mathcal{A}'(y_1 \| \dots \| y_m)$ 
if  $f'(\hat{x}_1 \| \dots \| \hat{x}_m) = y_1 \| \dots \| y_m$ ,
output  $\hat{x}_i$ 

We say  $x \in \{0,1\}^n$  is "good" if  $\mathcal{A}$  inverts f(x) with a good probability. Concretely, we define  $x \in \{0,1\}^n$  is "good" if and only if

$$\Pr_{\hat{x} \leftarrow \mathcal{A}(f(x))} \left[ f(\hat{x}) = f(x) \right] \ge \frac{1}{r(n)}$$

for a polynomial r(n). (r(n) will be fixed later.) If x is not "good", we say x is "bad".

Part A Prove that

$$\Pr_{\substack{x_1,\dots,x_m \stackrel{\$}{\leftarrow} \{0,1\}^n \\ \hat{x}_1,\dots,\hat{x}_m \leftarrow \mathcal{A}'(f(x_1)\|\dots\|f(x_m))}} \left[ f(\hat{x}_1) = f(x_1),\dots,f(\hat{x}_m) = f(x_m) \right] \\ \leq \frac{m^2}{r(n)} + \left( \Pr_{x \leftarrow \{0,1\}^n} [x \text{ is "good"}] \right)^m,$$

for any sufficiently large n.

**Part B** Choose polynomials m(n), r(n) properly, so that

$$\Pr_{x \leftarrow \{0,1\}^n} [x \text{ is ``bad''}] \le \frac{1}{2q(n)}$$

for infinitely many n. (Note that, you can let r(n) depend on both p(n) and q(n); while m(n) can depend on q(n) and cannot depend on p(n).)

**Part C** Define  $\mathcal{A}_{repeat}$  as

 $\begin{aligned} \mathcal{A}_{\text{repeat}}(y) & \text{let } n = |y| \\ & \text{repeat the following for } n \cdot r(n) \text{ times} \\ & \text{call } \hat{x} \leftarrow \mathcal{A}(y) \\ & \text{if } f(\hat{x}) = y, \\ & \text{output } \hat{x} \end{aligned}$ 

Show that  $\mathcal{A}_{\text{repeat}}$  violates our assumptions on f.

The contradiction rules out our assumption. So f' must be a OWF.

**Problem 4 (bonus 3pt) How to invert OWP, with Preprocessing** To invert an OWP, the naive algorithm is to enumerate all possible inputs, which takes  $2^n$  times for *n*-bit inputs/outputs. There is no obvious smarter algorithm for a general OWP. In this problem, we show how to reduce the time complexity of inverting a OWP, if preprocessing is allowed.

Let  $f: \{0,1\}^n \to \{0,1\}^n$  be a permutation that can be efficiently computed. For this problem, we are not considering the asymptotic complexity, you can assume that f can be computed in unit time.

The attack is done in a different setting that allows preprocessing. The adversary is split into  $\mathcal{A} = (\mathcal{A}_0, \mathcal{A}_1)$ .

- $\mathcal{A}_0$  is the preprocessing algorithm and is unbounded. For example,  $\mathcal{A}_0$  can query f(x) for all  $x \in \{0,1\}^n$ . In the end,  $\mathcal{A}_0$  should ouput a bounded length advice string L, which will be passed to  $\mathcal{A}_1$ .
- $\mathcal{A}_1$  is the online algorithm (in the RAM model). It takes the advice string L and a value f(x) as inputs. Its task is to find x in bounded time.

For any integer  $t < 2^n$ , construct adversary  $\mathcal{A} = (\mathcal{A}_0, \mathcal{A}_1)$  such that

- the advice string L contains up to  $\frac{2^n}{t}$  poly(n) bits,
- for any  $x \in \{0, 1\}^n$ , the online algorithm  $\mathcal{A}_1(L, f(x))$  always outputs x in at most  $t \operatorname{poly}(n)$  times.