

# Fundamentals of Cryptography: Problem Set 1

Due Wed Sep 18

Collaboration is permitted (and encouraged); however, you must write up your own solutions and acknowledge your collaborators.

If a problem has **Opt**, it will not be graded.

**Problem 0** Read Section 1 and 2 of “Introduction to Modern Cryptography (2nd ed)” by Katz & Lindell.

**Problem 1 (3pt)** In the one-time pad encryption scheme, there is nothing special about the XOR operation. Let  $(\mathcal{G}, \cdot)$  be a finite group<sup>1</sup>. Prove that the following encryption scheme is perfectly secure.

$\mathcal{K} = \mathcal{M} = \mathcal{C} = \mathcal{G}$   
Gen samples a random element from  $\mathcal{K}$   
 $\text{Enc}(k, m) = k \cdot m$  (here “ $\cdot$ ” is the group operation of  $\mathcal{G}$ )  
 $\text{Dec}(k, c) =$  \_\_\_\_\_ fill the blank \_\_\_\_\_

**Problem 2 (Opt)** For an encryption scheme  $(\mathcal{K}, \mathcal{M}, \mathcal{C}, \text{Gen}, \text{Enc}, \text{Dec})$ , we consider two equivalent definitions of security.

*Perfect secrecy:* For any distribution over  $\mathcal{M}$ , let random variable  $M$  denote the message sampled from the distribution, let  $K$  denote the key sampled from **Gen**, let  $C$  denote the output of  $\text{Enc}(K, M)$ , then

$$\forall m \in \mathcal{M}, \forall c \in \mathcal{C}, \Pr[M = m | C = c] = \Pr[M = m].$$

*Perfect indistinguishability:* For any  $m_0, m_1 \in \mathcal{M}$ , the distributions of  $\text{Enc}(K, m_0), \text{Enc}(K, m_1)$  are identical, that is,

$$\forall c \in \mathcal{C}, \Pr[\text{Enc}(K, m_0) \rightarrow c] = \Pr[\text{Enc}(K, m_1) \rightarrow c].$$

Prove that the two definitions are equivalent.

**Problem 3** Let  $X, Y, Z$  be three random variables over finite set(s). Let  $P_{XYZ}$  denote the distribution of  $(X, Y, Z)$ , that is,  $\Pr[X = x, Y = y, Z = z] = P_{XYZ}(x, y, z)$ . Similarly, we define  $P_X, P_Y, P_Z, P_{XY}, P_{XZ}, P_{YZ}$ .

**Part A (Opt)** The entropy of a random variable is defined as

$$H[X] := \sum_x P_X(x) \log \frac{1}{P_X(x)}, \quad H[X, Y] := \sum_{x,y} P_{XY}(x, y) \log \frac{1}{P_{XY}(x, y)}.$$

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<sup>1</sup>If you haven't learned “group” before, you only need to learn its definition.

The entropy conditional on an event  $A$  is defined as

$$H[X|A] := \sum_x \Pr[X = x|A] \log \frac{1}{\Pr[X = x|A]}.$$

The entropy conditional on another random variable is defined as

$$H[X|Y] := \sum_y P_Y(y) H[X|Y = y].$$

The mutual information between two random variable is defined as

$$I[X; Y] = H[X] - H[X|Y].$$

Prove that

$$I[X; Y] = H[X] + H[Y] - H[X, Y].$$

Prove that (hint: Jensen's inequality)

$$H[X] \geq 0, \quad H[X|Y] \geq 0, \quad I[X; Y] \geq 0.$$

**Part B (0pt)** The conditional mutual information is defined as

$$\begin{aligned} I[X; Y|A] &= H[X|A] + H[Y|A] - H[X, Y|A], \\ I[X; Y|Z] &= \sum_z P_Z(z) I[X; Y|Z = z]. \end{aligned}$$

The “three-way mutual information” is defined as

$$I[X; Y; Z] = H[X] + H[Y] + H[Z] - H[X, Y] - H[X, Z] - H[Y, Z] + H[X, Y, Z].$$

Prove that

$$I[X; Y; Z] = I[X; Y] - I[X; Y|Z].$$

Prove that

$$H[Z] \geq I[X; Y; Z] \geq -H[Z].$$

Find an example where  $I[X; Y; Z] = H[Z] > 0$ , and another example where  $I[X; Y; Z] = -H[Z] < 0$ .

**Part C (3pt)** Let  $(\mathcal{K}, \mathcal{M}, \mathcal{C}, \text{Gen}, \text{Enc}, \text{Dec})$  be a perfectly secure encryption scheme. Let random variable  $K$  denote the key generated by **Gen**. Prove that  $H[K] \geq \log(|\mathcal{M}|)$ .

**Problem 4 (6pt)** The last problem gives an alternative proof that  $|\mathcal{K}| \geq |\mathcal{M}|$  for any perfectly secure encryption scheme. We will consider whether smaller key suffices if we relax the requirements.

**Part A** We relax the security requirement (parameterized by a constant  $\varepsilon < 1$ ): Suppose we only require for any distribution  $M$ , for any  $m \in \mathcal{M}$  and for any  $c \in \mathcal{C}$ , let  $K$  be sampled from **Gen** and let  $C = \text{Enc}(K, M)$ , then

$$\left| \Pr[M = m|C = c] - \Pr[M = m] \right| \leq \varepsilon.$$

Prove a lower bound of  $|\mathcal{K}|/|\mathcal{M}|$  for any encryption scheme that meets this definition and the perfect correctness requirement.

**Part B** We relax the security requirement (parameterized by a constant  $\varepsilon < 1$ ): Suppose we only require for any  $m_0, m_1 \in \mathcal{M}$ , for any distinguisher  $D$ , the distinguisher guesses correctly with probability at most

$$\Pr_{\substack{k \leftarrow \text{Gen} \\ b \leftarrow \{0,1\}}} \left[ D(\text{Enc}(K, m_b)) = b \right] \leq \frac{1}{2} \cdot (1 + \varepsilon).$$

Prove a lower bound of  $|\mathcal{K}|/|\mathcal{M}|$  for any encryption scheme that meets this definition and the perfect correctness requirement.

**Part C** We relax the correctness requirement (parameterized by a constant  $\varepsilon < 1$ ): Suppose we only require for any  $m \in \mathcal{M}$

$$\Pr_{k \leftarrow \text{Gen}} [\text{Dec}(k, \text{Enc}(k, m)) = m] \geq 1 - \varepsilon.$$

Prove a lower bound of  $|\mathcal{K}|/|\mathcal{M}|$  for any encryption scheme that meets this definition and the perfect secrecy requirement. We assume both **Enc** and **Dec** are deterministic algorithms.