

## Chapter 4

### One-Way Function

单向函数

#### 4.1 One-Way Function (OWF)

##### 1. One-Way Function (OWF)

$$f: \{0,1\}^* \rightarrow \{0,1\}^*$$

① Easy to compute,  $\exists$  poly-time algorithm 给 A 一定时间运行

② Hard to invert,  $\forall$  p.p.t A,  $\Pr_{x \in \{0,1\}^n} [A(1^n, f(x)) \in f^{-1}(f(x))] \leq \text{negl}(n)$

注: 比 PRG 的 Uniform Distribution 弱

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##### 2. One-Way Permutation (OWP)

$$f: \{0,1\}^* \rightarrow \{0,1\}^*$$

① f is a OWF

② f is bijection (长度不变)

eg.  $f: \mathbb{Z}_p \rightarrow \mathbb{Z}_p$ ,  $f(x) = g^x \bmod p$

##### 3. Weak OWF

Weak OWF:

① Easy to compute

② Hard to invert with  $\frac{1}{\text{poly}(n)}$

$\forall$  sufficient large n,  $\Pr_{x \in \{0,1\}^n} [A(f(x)) \in \{0,1\}^n \cap f^{-1}(f(x))] \leq 1 - \frac{1}{\text{poly}(n)}$

eg.  $f(x, y) = xy$ , x, y 为 n bit

由于素数密度  $\approx \frac{1}{n}$ , 故  $\frac{1}{n^2}$  为两个素数, 但极大可能好分解 (假设大素数分解很难)

$\exists$  Weak OWF w  $\Rightarrow \exists$  OWF

Proof:  $f(x_1, x_2 \dots x_n) = w(x_1)w(x_2) \dots w(x_n)$

则至少有一个落在很难 invert 的区域

$\exists$  OWF  $\Rightarrow$  Construct Weak OWF (Universal OWF)

Proof:  $x = \underbrace{0000\dots}_n 0/x'$ ,  $f(x) = (i, TM_i(x', \text{time limit} = |x|^2))$

由于每种 TM 以常数概率 chosen. ( $\Pr[TM_0 = \frac{1}{2}], \Pr[TM_1 = \frac{1}{3}] \dots$ )

而又存在一个OWF. (某个  $TM_i$ )

故至少以常数概率为 OWF, 即 Weak OWF

Proof:  $x = \boxed{\quad}_{x_1} \boxed{\quad}_{x_2} \boxed{\quad}_{x_3} \dots$

$f(x) = (TM_1(x_1), TM_2(x_2), \dots)$

## 4.2 Hardcore Bit

For OWF  $f$  with hardcore bit  $h: \{0,1\}^* \rightarrow \{0,1\}$ :

If  $\forall$  p.p.t A,

$$\Pr_{x \in \{0,1\}^n} [A(1^n, f(x)) = h(x)] \leq \frac{1}{2} + \text{negl}(n)$$

注: 很难猜出的某一位

e.g.  $f'(x||y) = f(y)$ , 没有  $x$  的信息.

$\exists$  OWP with hardcore bit  $\Rightarrow \exists$  PRG

Proof: 全 OWP  $f$ . hardcore bit  $h$ ,  $g(x) = f(x) \parallel h(x)$

若  $g$  不是 PRG,  $\exists$  p.p.t D,  $\exists$  inf n,

$$\left| \Pr_{\substack{x \in \{0,1\}^n \\ b \in \{0,1\}}} [D(f(x) \parallel h(x)) \rightarrow 1] - \Pr_{\substack{y \in \{0,1\}^n \\ b \in \{0,1\}}} [D(y \parallel b) \rightarrow 1] \right| \geq \frac{1}{\text{poly}(n)}$$

又由  $f$  定义

$$\left| \Pr_{\substack{x \in \{0,1\}^n \\ b \in \{0,1\}}} [D(f(x) \parallel b) \rightarrow 1] - \Pr_{\substack{y \in \{0,1\}^n \\ b \in \{0,1\}}} [D(y \parallel b) \rightarrow 1] \right| \leq \text{negl}(n)$$

$\therefore \exists$  p.p.t D,  $\exists$  inf n.

$$\left| \Pr_{\substack{x \in \{0,1\}^n \\ b \in \{0,1\}}} [D(f(x) \parallel h(x)) \rightarrow 1] - \Pr_{\substack{x \in \{0,1\}^n \\ b \in \{0,1\}}} [D(f(x) \parallel b) \rightarrow 1] \right| \geq \frac{1}{\text{poly}(n)}$$

构造  $A(1^n, y)$ , 先随机  $b \in \{0,1\}$ , 运行  $D(y, b)$  得到 s.

输出  
| b , s=1  
| 1-b , s=0

$$\therefore \Pr[A(1^n, f(x)) = h(x)]$$

$$\begin{aligned} &= \frac{1}{2} \Pr_{x \in \{0,1\}^n} [D(f(x) \parallel h(x)) \rightarrow 1] + \frac{1}{2} \Pr_{x \in \{0,1\}^n} [D(f(x) \parallel 1-h(x)) \rightarrow 1] \\ &= \frac{1}{2} + \frac{1}{2} \left( \Pr_{x \in \{0,1\}^n} [D(f(x) \parallel h(x)) \rightarrow 1] - \Pr_{x \in \{0,1\}^n} [D(f(x) \parallel 1-h(x)) \rightarrow 1] \right) \\ &= \frac{1}{2} + \Pr_{\substack{x \in \{0,1\}^n \\ b \in \{0,1\}}} [D(f(x) \parallel h(x)) \rightarrow 1] - \Pr_{\substack{x \in \{0,1\}^n \\ b \in \{0,1\}}} [D(f(x) \parallel b) \rightarrow 1] \\ &\geq \frac{1}{2} + \text{negl}(n) \end{aligned}$$

$\exists$  OWP f  $\Rightarrow \exists$  OWP with hardcore bit

Proof: 全 OWP f,  $f'(x \parallel y) = x \parallel f(y)$  也是 OWP

令  $h(x, y) = \langle x, y \rangle = \bigoplus_i x_i y_i$ , 下证 h 为 hardcore bit

① 先假设  $\exists A, \exists$  inf n.  $\Pr_{x, y \in \{0,1\}^n} [A(x, f(y)) = \langle x, y \rangle] = 1$ .

构造  $A'$ :

对  $\forall i, y_i = A(x, f(y)) \oplus A(x \oplus e_i, f(y))$

由于  $\langle e_i, y \rangle = \langle x, f(y) \rangle \oplus \langle x \oplus e_i, y \rangle$

则可得到 y 的每一位, 即  $\Pr_{x, y \in \{0,1\}^n} [A'(f(y)) \in f^{-1}(f(y))] = 1$ . 矛盾

$$\textcircled{2} \text{ 若 } \exists A, \exists \inf n, \underset{x,y \in \{0,1\}^n}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle] \geq \frac{3}{4} + \frac{1}{p(n)}$$

$$\text{引理1: } \underset{y \in \{0,1\}^n}{\mathbb{P}} \left[ \underset{x \in \{0,1\}^n}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle] \geq \frac{3}{4} + \frac{1}{2p(n)} \right] \geq \frac{1}{2p(n)}$$

$$\text{Proof: 令 } S_n = \left\{ y \mid \underset{x \in \{0,1\}^n}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle] \geq \frac{3}{4} + \frac{1}{2p(n)} \right\}$$

$$\underset{x,y}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle]$$

$$= \underset{x,y}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle \mid y \in S_n] \underset{y}{\mathbb{P}} [y \in S_n] \\ + \underset{x,y}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle \mid y \notin S_n] \underset{y}{\mathbb{P}} [y \notin S_n]$$

$$\leq \underset{y}{\mathbb{P}} [y \in S_n] + \underset{x,y}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle \mid y \notin S_n]$$

$$\therefore \underset{y}{\mathbb{P}} [y \in S_n] \geq \underset{x,y}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle] - \underset{x,y}{\mathbb{P}} [A(x, f(y)) = \langle x, y \rangle \mid y \notin S_n] \\ \geq \left( \frac{3}{4} + \frac{1}{p(n)} \right) - \left( \frac{3}{4} + \frac{1}{2p(n)} \right) \\ = \frac{1}{2p(n)}$$

引理2: 随机采样  $x_1 \dots x_n \stackrel{\text{i.i.d.}}{\sim} \{0,1\}^l$ , 则

$$\forall y_1 \neq y_2 \in \{0,1\}^{l-2}, \underset{y_1 \oplus e_i \neq 0}{\oplus} x_i \text{ 与 } \underset{y_2 \oplus e_i \neq 0}{\oplus} x_i \text{ 独立 } (\underset{y \oplus e_i \neq 0}{\oplus} x_i \text{ 两两独立})$$

$$\text{Proof: } \mathbb{P} \left[ \underset{y_1 \oplus e_i \neq 0}{\oplus} x_i = a, \underset{y_2 \oplus e_i \neq 0}{\oplus} x_i = b \right]$$

$$= \mathbb{P} \left[ \underset{y_1 \oplus e_i \neq 0}{\oplus} x_i = a \oplus c, \underset{y_2 \oplus e_i \neq 0}{\oplus} x_i = b \oplus c \right]$$

$$= \mathbb{P} \left[ \underset{y_1 \oplus e_i \neq 0}{\oplus} x_i = a \oplus c \right] \mathbb{P} \left[ \underset{y_2 \oplus e_i \neq 0}{\oplus} x_i = b \oplus c \right]$$

$$= \mathbb{P} \left[ \underset{y_1 \oplus e_i \neq 0}{\oplus} x_i = a \right] \mathbb{P} \left[ \underset{y_2 \oplus e_i \neq 0}{\oplus} x_i = b \right]$$

引理 3：若  $X_1 \dots X_m$  两两独立，且  $E[X_i] = \mu$ ,  $D[X_i] = \sigma^2$ .

则  $\forall \varepsilon > 0$ ,

$$P\left[\left|\frac{\sum_{i=1}^m X_i}{m} - \mu\right| \geq \varepsilon\right] \leq \frac{\sigma^2}{\varepsilon^2 m}$$

Proof: 由 Chebyshev's Inequality:

$$\begin{aligned} P\left[\left|\frac{\sum_{i=1}^m X_i}{m} - \mu\right| \geq \varepsilon\right] &\leq \frac{\text{Var}\left(\frac{\sum_{i=1}^m X_i}{m}\right)}{\varepsilon^2} \\ &= \frac{\frac{1}{m^2} \text{Var}\left(\sum_{i=1}^m X_i\right)}{\varepsilon^2} \\ &= \frac{\frac{1}{m^2} \sum_{i=1}^m \text{Var}(X_i)}{\varepsilon^2} \\ &= \frac{\sigma^2}{\varepsilon^2 m} \end{aligned}$$

对于  $y \in S_n$ ,  $\forall x$ ,  $P_{x \sim \{0,1\}^n} [A(x, f(y)) = \langle x, y \rangle, A(x \oplus e_i, f(y)) = \langle x \oplus e_i, f(y) \rangle]$

$$\geq 1 - \left(1 - \frac{3}{4} - \frac{1}{2p(n)}\right)^2$$

$$\geq \frac{1}{2} + \frac{1}{p(n)}$$

构造  $A'$ :

采样  $m = np^2(n) \uparrow x_i$

猜测  $y_i = \text{Majority}_j \{A(x_j, f(y)) \oplus A(x_j \oplus e_i, f(y))\}$

$$\therefore P_y [A'(f(y)) = y]$$

$$\geq \prod_{y \in S_n} P_y [A'(f(y)) = y] \cdot P_y [y \in S_n]$$

$$\geq \left(1 - \frac{\frac{1}{4}}{\frac{1}{p^2(n)} \cdot np^2(n)}\right) \cdot \frac{1}{2p(n)}$$

$$= \left(1 - \frac{1}{4n}\right) \frac{1}{2p(n)} \approx \frac{1}{\text{poly}(n)}, f \text{ 不是 OWP. 矛盾}$$

③ 若  $\exists A, \exists \inf n.$

$$\underset{x,y}{\mathbb{P}}[A(x, f(y)) = \langle x, y \rangle] \geq \frac{1}{2} + \frac{1}{p(n)}$$

构造如下  $A'(f(y)):$

$$\forall l = \lceil \log(2np^2(n)) \rceil$$

采样  $S_1, \dots, S_l.$

$$\forall i \in \{1, \dots, l\}, \text{ 猜测 } \langle S_i, y \rangle = A(S_i, f(y))$$

$$\forall T \subseteq \{1, 2, \dots, l\}, X_T = \bigoplus_{i \in T} S_i$$

$$\text{猜测 } \langle X_T, y \rangle = \bigoplus_{i \in T} A(S_i, f(y))$$

$$\text{猜测 } \langle X_T \oplus e_j, y \rangle = A(X_T \oplus e_j, f(y))$$

对  $\forall i \in \{1, 2, \dots, n\},$

$$\text{猜测 } y_i = \text{Majority}_{T \subseteq \{1, 2, \dots, l\}} \left\{ \bigoplus_{i \in T} A(S_i, f(y)) \oplus \bigoplus_{j \in \{1, \dots, n\} \setminus T} A(S_i \oplus e_j, f(y)) \right\}$$

$$\forall S'_n = \{y \mid \underset{x \in \{0,1\}^n}{\mathbb{P}}[A(x, f(y)) = \langle x, y \rangle] \geq \frac{3}{4} + \frac{1}{2p(n)}\}$$

$$\therefore \underset{y \in S'_n}{\mathbb{P}}[A'(f(y)) = y] \geq \underset{y \in S'_n}{\mathbb{P}}[y \in S'_n] \cdot \underset{y \in S'_n}{\mathbb{P}}[A'(f(y)) = y]$$

$$\text{而 } \underset{y \in S'_n}{\mathbb{P}}[A'(f(y)) = y]$$

$$= \underset{y \in S'_n}{\mathbb{P}} \left[ \text{Majority}_{T \subseteq \{1, 2, \dots, l\}} \left\{ \bigoplus_{i \in T} A(S_i, f(y)) \oplus A(X_T \oplus e_j, f(y)) \right\} = y_i \right]$$

$$= \underset{y \in S'_n}{\mathbb{P}} \left[ \underset{T \subseteq \{1, \dots, l\}}{\mathbb{P}} \left[ \bigoplus_{i \in T} A(S_i, f(y)) \oplus A(X_T \oplus e_j, f(y)) = y_i \right] \geq \frac{1}{2} \right]$$

$$= \underset{y \in S'_n}{\mathbb{P}} \left[ \underset{T \subseteq \{1, \dots, l\}}{\mathbb{P}} \left[ \bigoplus_{i \in T} A(S_i, f(y)) = \langle S_i, y \rangle, A(X_T \oplus e_j, f(y)) = \langle X_T \oplus e_j, f(y) \rangle \right] \geq \frac{1}{2} \right]$$

$$\text{而 } \mathbb{P}[A(x_i, f(y)) = \langle x_i, y \rangle] = 1$$

$$= \mathbb{P}[A(s_i, f(y)) = \langle s_i, y \rangle] = 1$$

$$= \left(\frac{1}{2}\right)^l = \frac{1}{2^n p^2(n)}$$

$$\therefore \text{式} = \frac{1}{n} \mathbb{P}_{\substack{y \in S \\ j \in \{1 \dots n\}}} \left[ \mathbb{P}_T [A(x_T \oplus e_j, f(y)) = \langle x_T \oplus e_j, f(y) \rangle] \geq \frac{1}{2} \right]$$

$$= \frac{1}{n} \mathbb{P}_{\substack{y \in S \\ j \in \{1 \dots n\}}} \left[ \mathbb{P}_T [A(x_T \oplus e_j, f(y)) = \langle x_T \oplus e_j, f(y) \rangle] \geq \frac{1}{2} \right]$$

$$= \frac{1}{n} \left( 1 - \mathbb{P}_{\substack{y \in S \\ j \in \{1 \dots n\}}} \left[ \mathbb{P}_T [A(x_T \oplus e_j, f(y)) = \langle x_T \oplus e_j, f(y) \rangle] \leq \frac{1}{2} \right] \right)$$

$$\geq \frac{1}{n} \left( 1 - \frac{\frac{1}{4}}{\left(\frac{1}{p(n)}\right)^2 2^n p^2(n)} \cdot \frac{1}{2} \right)$$

$$= \frac{1}{n} \left( 1 - \frac{1}{16n} \right)$$

$$\therefore \mathbb{P}_y [A'(f(y)) = y] \geq \frac{1}{n} \left( 1 - \frac{1}{16n} \right) \frac{1}{2^{p(n)}} \sim \frac{1}{\text{poly}(n)}, f \text{ 不是OWP. 矛盾}$$

$\therefore h$  为 hardcore bit